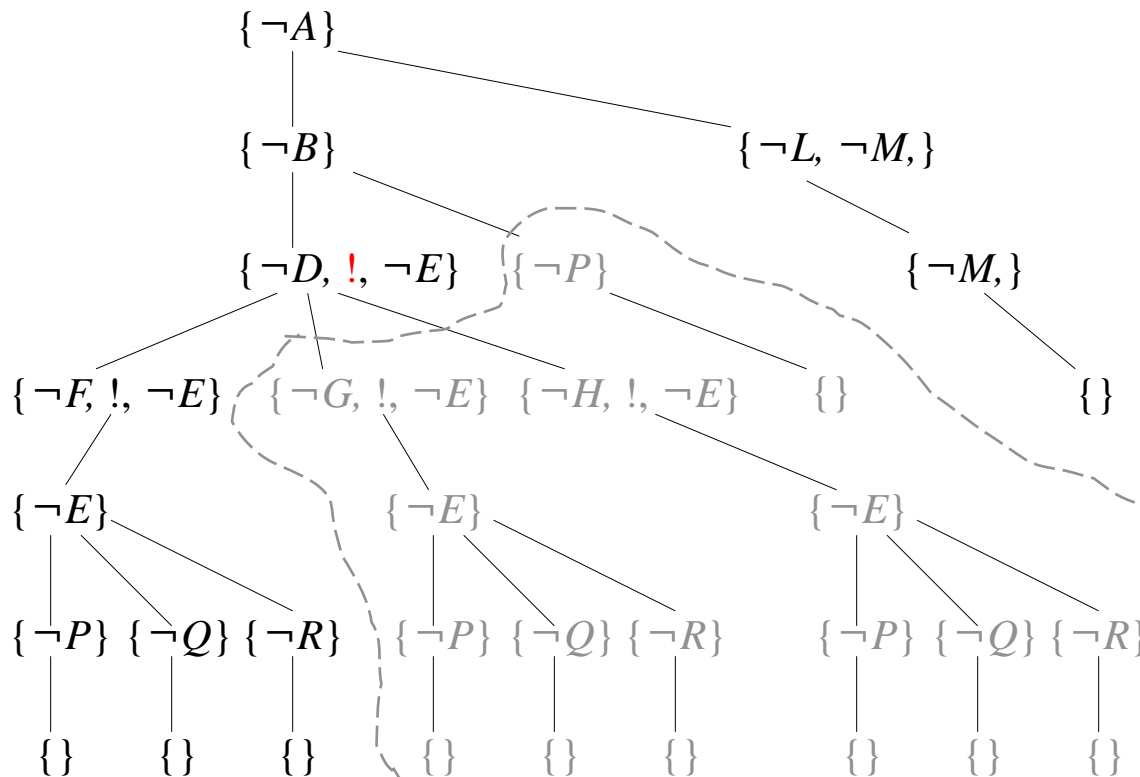


# *Artificial Intelligence*

## Plausible Reasoning

Marco Piastra

# An aside: cut in Prolog



This part of the SLD tree  
is not explored due to the **cut**

A program with one **cut**:

$$\Pi \equiv \{ \{A, \neg B\}, \{A, \neg L, \neg M\}, \\ \{B, \neg D, \mathbf{!}, \neg E\}, \{B, \neg P\}, \\ \{D, \neg F\}, \{D, \neg G\}, \{D, \neg H\}, \\ \{E, \neg P\}, \{E, \neg Q\}, \{E, \neg R\}, \{L\}, \\ \{M\}, \{F\}, \{G\}, \{H\}, \{P\}, \{Q\}, \\ \{R\} \}$$

$goal \equiv \{ \neg A \}$

Once met, a **cut** prevents  
*backtracking*  
from the *parent* goal  
(i.e. from the head of the rule)

# Negation as failure: SLDNF

## ■ Adding negation as failure to SLD resolution

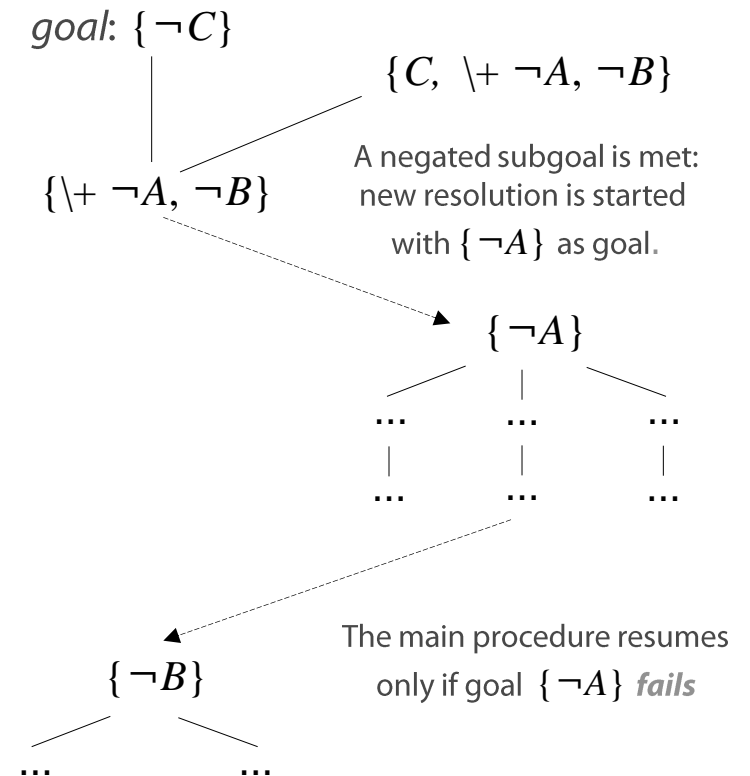
← Notice that this is NOT a Horn Clause  
 $(\neg A \wedge B) \rightarrow C$  in SLDNF this translates into

← This is a new, special symbol  
 $\{\backslash+ \neg A, \neg B, C\}$

### SLDNF Resolution

It works just as SLD resolution until a *negated subgoal* is met (i.e. one preceded by  $\backslash+$ )  
At this point, in SLDNF, a new specific resolution is attempted for the *negated subgoal* alone

In order for the negated subgoal to be resolved, *its new and specific resolution must fail*  
(negation as failure)



# Beyond classical logic?

- For *classical* logic it usually intended:
  - First-order logic  $L_{FO}$
  - Propositional logic  $L_P$  (which is contained in  $L_{FO}$ )
- A **non-classical** logic adopts different rules
- *What for?*
  - Representing other forms of reasoning
    - Not just *deduction* but also *abduction* and *induction* (*see after*)
    - Specialized reasoning, e.g. about time or other modalities like belief, intentions etc.
  - For practical applications
    - Subsets of  $L_{FO}$ , that are either more efficient or focused on a specific purpose (e.g. Prolog)

# Logics and logical systems

Theoretically, a **logic** includes:

- a) Formal language
- b) Formal semantics of the language
- c) Relations  $\models$  (*entailment*) e  $\vdash$  (*derivation*)

## ■ In the realm of artificial intelligence

A **logical system** is a *reasoning agent* (not necessarily human)

- It is based on the a **logic** of reference (e.g.  $L_{FO}$ )
- It makes use of a **computation strategy** (e.g. *SLD depth-first*)
- It may have *limited resources* (e.g. time or memory or both)

This leads to the idea of *derivability in a logical system*

Notation:  $\Gamma \vdash_{\langle \text{SysLog} \rangle} \varphi$  where  $\langle \text{SysLog} \rangle$  describes a particular logical system

Example:

$$\Gamma \vdash_{L_{FO}} \varphi \neq \Gamma \vdash_{\substack{\text{SLD strategy} \\ \text{(just for Horn clauses)}} \text{fair}} \varphi \neq \Gamma \vdash_{\text{SLD}} \varphi$$

$\uparrow$  General derivability in  $L_{FO}$

$\swarrow$  A generic *SLD* strategy (i.e. not necessarily *fair*)

In the line of principle, the computation strategy of  $\langle \text{SysLog} \rangle$  can be anything:  
e.g.  $\Gamma \vdash_{NN} \varphi$  might be a neural network that says whether  $\varphi$  is (*NN*)-derivable from  $\Gamma$

# Defeasible reasoning

A reasoning process where the **relation** between formulae is rationally plausible yet not necessarily correct (in the classical logical sense)

Notation:

$\Gamma \vdash_{\langle SysLog \rangle} \varphi$  says that  $\varphi$  is a **plausible** derivation from  $\Gamma$  in  $\langle SysLog \rangle$

Properties of  $\vdash_{\langle SysLog \rangle}$

$$\Gamma \vdash_{\langle SysLog \rangle} \varphi \Rightarrow \Gamma \not\vdash_{\langle SysLog \rangle} \neg \varphi$$

(coherence)

$$\Gamma \vdash_{\langle SysLog \rangle} \varphi \Rightarrow \Gamma \vdash_{\langle SysLog \rangle} \varphi$$

(compatibility with derivation)

$$\Gamma \vdash_{\langle SysLog \rangle} \varphi \not\Rightarrow \Gamma \vdash_{\langle SysLog \rangle} \varphi (\Rightarrow \Gamma \models \varphi)$$

(not necessarily correct)

Occurs very often in practice:

“The train schedule does not report a train to Milano at 06:55,  
*therefore we assume that such a train does not exist*”

Most databases contain positive information only

Negative facts are often derived ‘by default’

# Defeasible reasoning

- Inference in *defeasible reasoning* is

## Non-monotonic

$$\Gamma \vdash_{\langle \text{SysLog} \rangle} \varphi \not\Rightarrow \Gamma \cup \Delta \vdash_{\langle \text{SysLog} \rangle} \varphi$$

The arrival of new pieces of information may falsify inferences that used to be justified  
*e.g. an extra train to Milano at 06:55 is announced ...*

## Systemic

In classical logic, the soundness of all inferences schema depend only on the few formulae involved.

e.g.  $\varphi \rightarrow \psi, \varphi \vdash \psi$

In defeasible reasoning, inferences are justified by an entire theory  $\Gamma$

One must check the entire database:  $\Gamma \not\vdash \varphi \vdash_{\langle \text{SysLog} \rangle} \neg \varphi$

# Closed-World Assumption (CWA)

$$\{\Gamma \not\models \alpha\} \vdash_{CWA} \neg\alpha \quad (\alpha \text{ is an atom})$$

Example (a program):

$$\Pi \equiv \{\{Philosopher(socrates)\}, \{Philosopher(plato)\}, \{Philosopher(felix)\}\}$$

The program  $\Pi$  can be rewritten in  $L_{FO}$  as:

$$\forall x ((x = socrates) \rightarrow Philosopher(x))$$

$$\forall x ((x = plato) \rightarrow Philosopher(x))$$

$$\forall x ((x = felix) \rightarrow Mortal(x))$$

The *Closed-World Assumption* (CWA) means completing (i.e. extending) the program  $\Pi$ :

$$\forall x ((x = felix) \leftrightarrow Mortal(x))$$

$$\forall x ((x = socrates \vee x = plato) \leftrightarrow Philosopher(x)) \quad \text{Notice the double implication}$$

Then these plausible inferences become sound:

$$\Pi \vdash_{CWA} \neg Mortal(socrates)$$

$$\Pi \vdash_{CWA} \neg Mortal(plato)$$

$$\Pi \vdash_{CWA} \neg Philosopher(felix)$$



# SLDNF and CWA

- Completion of a set of Horn clauses

- 1) Rewrite the set  $\Gamma$  so that each rule head appears at most once

The example:  $\Gamma \equiv \{\{C\}, \{B, \neg F\}, \{B, \neg E\}, \{B, \neg D\}\}$

can be rewritten as  $\{C, F \rightarrow B, E \rightarrow B, D \rightarrow B\}$

then, by factoring  $B$ :  $\{C, D \vee E \vee F \rightarrow B\}$

- 2) For each non-factual atom  $\varphi$  add  $false \rightarrow \varphi$  (*false = contradiction*)

In the case above:  $\{C, D \vee E \vee F \rightarrow B, false \rightarrow D, false \rightarrow E, false \rightarrow F\}$

- 3) Replace implication  $\rightarrow$  with double implication  $\leftrightarrow$

$Comp(\Gamma) \equiv \{C, D \vee E \vee F \leftrightarrow B, false \leftrightarrow D, false \leftrightarrow E, false \leftrightarrow F\}$  (completion of  $\Gamma$ )

- **Correctness SLDNF** (Clark, 1974)

If the SLDNF goal  $\lambda + \varphi$  succeeds for  $\Gamma$ , then  $Comp(\Gamma) \models \varphi$

In the example:  $\Gamma \equiv \{\{C\}, \{B, \neg F\}, \{B, \neg E\}, \{B, \neg D\}\}$

Goal  $\lambda + \neg B$  succeeds in SLDNF because  $\neg B$  fails in SLD

therefore:  $\{C, (D \vee E \vee F) \leftrightarrow B, false \leftrightarrow D, false \leftrightarrow E, false \leftrightarrow F\} \models \neg B$

# CWA and SLDNF

- *Closed-World Assumption (CWA)*

$$\{\Gamma \not\models \alpha\} \vdash_{CWA} \neg\alpha \quad (\alpha \text{ is an atom})$$

Notice that in general  $\Gamma \not\models \alpha$  is not decidable in  $L_{FO}$ , therefore neither  $\vdash_{CWA}$  is

- *SLDNF fair*

$$\{\alpha \in FF_{SLDfair}(\Gamma)\} \vdash_{SLDNF\ fair} \neg\alpha$$

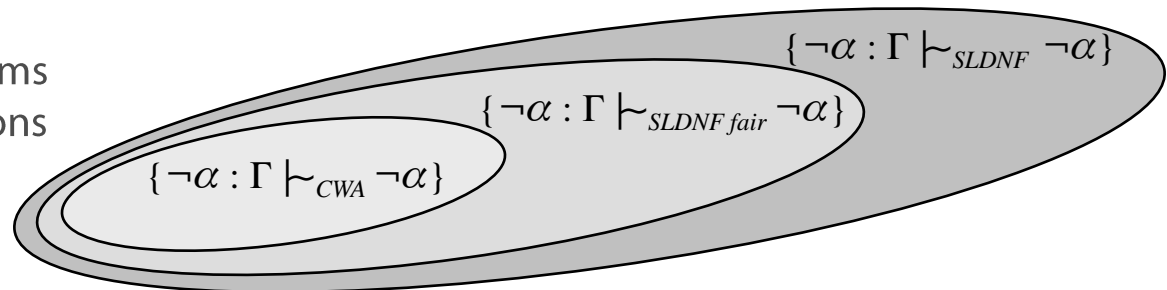
$FF_{SLDfair}(\Gamma)$  is defined as the set atoms for which SLD *fair* terminates for  $\neg\alpha$  with failure

- *SLDNF*

$$\{\alpha \in FF_{SLD}(\Gamma)\} \vdash_{SLDNF} \neg\alpha$$

As above, but without assuming that SLD is *fair*

Derivable sets atoms  
with different assumptions



# Inference and reasoning (according to C. S. Peirce, 1870 c.a. )

## ■ Different types of reasoning

### Deductive inference (sound)

Derive only what is justified in terms of **entailment**

"All beans in this bag are white"

"This handful of beans comes from the bag"

"This is a handful of white beans"

$$\frac{\forall x \varphi(x) \rightarrow \psi(x) \quad \varphi(a)}{\psi(a)}$$

### Inductive inference (plausible)

From repeated occurrences, derive rules

"This handful of beans comes from the bag"

"This is a handful of white beans"

"All beans in this bag are white"

$$\frac{\psi(a) \quad \varphi(a)}{\forall x \varphi(x) \rightarrow \psi(x)}$$

### Abductive inference (plausible)

From rules and outcomes, derive premises

"All beans in this bag are white"

"This is a handful of white beans"

"This handful of beans comes from the bag"

$$\frac{\forall x \varphi(x) \rightarrow \psi(x) \quad \psi(a)}{\varphi(a)}$$

# Abductive inferences: explanatory hypotheses

*The basic theory is still that of classical logic*

What changes is the way reasoning (and hence calculus) is performed

## ■ Abductive reasoning, in general:

A **model** (or abstract definition of some kind)  
represented by a logical theory  $K$

A set of specific **observations**  
represented by a set of wffs  $\Sigma$

In general:  $K \not\models \Sigma$

(specific observations are not *entailed* by the model)

The problem is finding *hypotheses*  $\Delta$  (i.e sets of wffs) such that

$$K \cup \Delta \models \Sigma$$

Intuitively, a set  $\Delta$  describes an hypothesis that *explains* the observations  $\Sigma$

# Example: "The car does not start"

## ■ Model ( $\mathcal{K}$ )

$\kappa_1: dischargedBattery \rightarrow (\neg lightsOn \wedge \neg radioOn \wedge \neg selfStarterRuns)$

$\kappa_2: selfStarterBroken \rightarrow \neg selfStarterRuns$

$\kappa_3: \neg selfStarterRuns \rightarrow \neg engineStarts$

$\kappa_4: voidTank \rightarrow (gasGaugeZero \wedge \neg engineStarts)$

## ■ Observation ( $\Sigma$ )

$\sigma_1: \neg engineStarts$

## ■ Plausible causes ( $\Delta$ )

$\delta_1: dischargedBattery$  since:  $\{\kappa_1, \kappa_3\} \cup \{\delta_1\} \models \sigma_1$

$\delta_2: selfStarterBroken$  since:  $\{\kappa_2, \kappa_3\} \cup \{\delta_2\} \models \sigma_1$

$\delta_3: voidTank$  since:  $\{\kappa_4\} \cup \{\delta_3\} \models \sigma_1$

# Rationality of hypotheses

- *Plausible*

$K \cup \Delta \cup \Sigma$  must be *satisfiable*

- *Minimal*

There must not be a subset  $\Delta^* \subset \Delta$  such that  $K \cup \Delta^* \models \Sigma$

- *Relevant*

$K \cup \{\neg engineStarts\} \models \neg engineStarts$

is both plausible and minimal but offers no explication

(abductive reasoning is about the *causes*, in some sense)