

Horn Clauses and SLD Resolution

Marco Piastra

Horn Clauses (in L_P)

- Definition

A **Horn Clause** is a wff in CF
that contains at most one literal in positive form

- Three types of *Horn Clauses*:

Rule: two or more literals, one positive

Examples: $\{B, \neg D, \neg A, \neg C\}$, $\{A, \neg B\}$ (equivalent to: $(D \wedge A \wedge C) \rightarrow B$, $B \rightarrow A$)

Facts: just one positive literal

Examples: $\{B\}$, $\{A\}$

Goal: one or more literals, all negative

Examples: $\{\neg B\}$, $\{\neg A, \neg B\}$

More terminology:

Rules and facts are also called *definite clauses*

Goals are also called *negative clauses*

Lost in Translation...

Many wffs can be translated into Horn clauses:

$$(A \wedge B) \rightarrow C$$

$$\neg(A \wedge B) \vee C$$

$$\neg A \vee \neg B \vee C$$

(rewriting \rightarrow)

(De Morgan - CF – it is a rule)

$$A \rightarrow (B \wedge C)$$

$$\neg A \vee (B \wedge C)$$

$$(\neg A \vee B) \wedge (\neg A \vee C)$$

$$(\neg A \vee B), (\neg A \vee C)$$

(rewriting \rightarrow)

(distributing \vee)

(CF – two rules)

$$(A \vee B) \rightarrow C$$

$$\neg(A \vee B) \vee C$$

$$(\neg A \wedge \neg B) \vee C$$

$$(\neg A \vee C) \wedge (\neg B \vee C)$$

$$(\neg A \vee C), (\neg B \vee C)$$

(rewriting \rightarrow)

(De Morgan)

(distributing \vee)

(CF – two rules)

But not all of them:

$$(A \wedge \neg B) \rightarrow C$$

$$\neg(A \wedge \neg B) \vee C$$

$$\neg A \vee B \vee C$$

(rewriting \rightarrow)

(De Morgan)

$$A \rightarrow (B \vee C)$$

$$\neg A \vee B \vee C$$

(rewriting \rightarrow)

SLD Resolution (in L_P)

Linear resolution with Selection function for Definite clauses

■ Algorithm

Starts from a set of *definite clauses* (also the *program*) + a *goal*

- 1) At each step, the *selection function* identifies a *literal* in the *goal* (i.e. *subgoal*)
- 2) All *definite clause* applicable to the *subgoal* is selected
- 3) The resolution rule is applied generating the *resolvent*

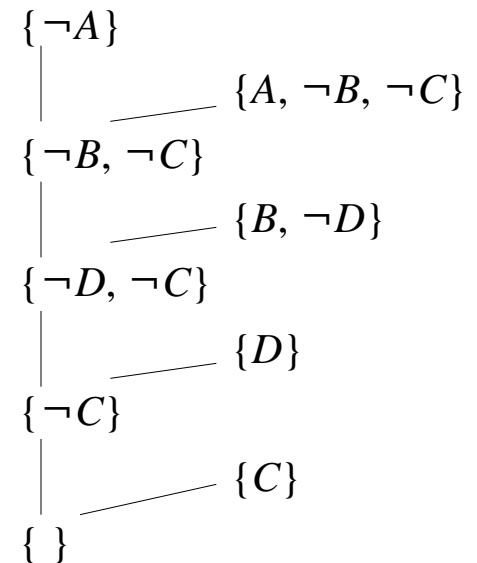
Termination: either the empty clause $\{ \}$ is obtained or step 2) fails.

Example:

Selection function: leftmost subgoal first

Definite clauses: $\{C\}$, $\{D\}$, $\{B, \neg D\}$, $\{A, \neg B, \neg C\}$

Goal: $\{\neg A\}$



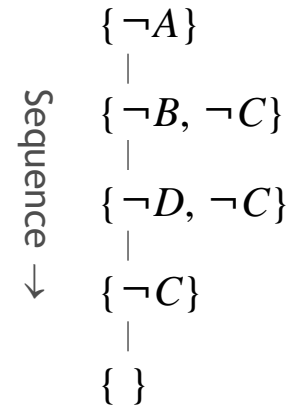
SLD trees (in L_P)

SLD derivations

Example: $\{C\}, \{D\}, \{B, \neg D\}, \{A, \neg B, \neg C\}$ goal $\{\neg A\}$

In this example each subgoal can be resolved in one mode only

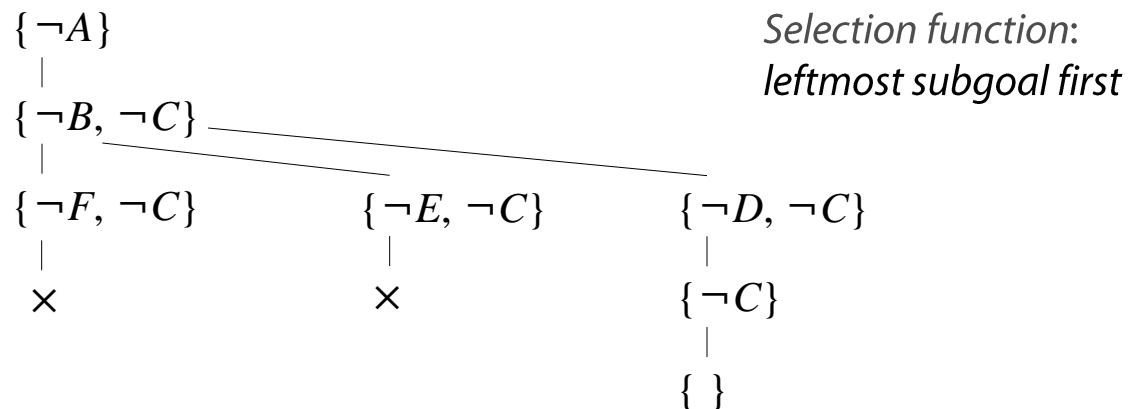
This is not true in general



- SLD trees (= trace of all SLD derivations from a goal)

Example: $\{C\}, \{D\}, \{B, \neg F\}, \{B, \neg E\}, \{B, \neg D\}, \{A, \neg B, \neg C\}$ goal $\{\neg A\}$

A few new rules have been added: there are now different possibilities



Each branch correspond to a possible resolution for a *subgoal*

SLD Resolution (in L_P)

- A resolution method for Horn clauses in L_P

It always terminates

It is *correct*: $\Gamma \vdash \varphi \Rightarrow \Gamma \models \varphi$

It is *complete*: $\Gamma \models \varphi \Rightarrow \Gamma \vdash \varphi$

- Computationally efficient

It has polynomial time complexity (w.r.t the # of propositional symbols occurring in Γ and φ)

- Limitations

Not all problems can be translated into Horn clauses

The “Harry is happy” problem does not translate

Γ : only a set of *rules* and *facts*

φ : only a conjunction of *facts*

Horn Clauses in L_{FO}

The definition is very similar to the propositional case

■ Horn Clauses (of the skolemization of a set sentences)

Each clause contains at most one literal in positive form

Facts, rules and goals

Fact: a clause with just an individual *atom*

$\{Human(socrates)\}, \{Pyramid(x)\}, \{Sister(sally, motherOf(paul))\}$

Rule: a clause with at least two literals, exactly one in positive form

$\{Human(x), \neg Philosopher(x)\},$

$\forall x (Philosopher(x) \rightarrow Human(x))$

$\{\neg Female(x), \neg Parent(k(x),x), \neg Parent(k(y),y), Sister(x,y)\}$

$\forall x \forall y ((Female(x) \wedge \exists z (Parent(z,x) \wedge Parent(z,y))) \rightarrow Sister(x,y))$

$\{\neg Above(x,y), On(x,k(x))\}, \{\neg Above(x,y), On(j(y),y)\}$

$\forall x \forall y (Above(x,y) \rightarrow (\exists z On(x,z) \wedge \exists v On(v,y)))$

Goal: a clause containing negative literals only

$\{\neg Human(socrates)\}$

$\{\neg Sister(sally,x), \neg Sister(x,paul)\}$

Negation of $\exists x (Sorella(sally,x) \wedge Sorella(x,paul))$

SLD Resolution in L_{FO}

Linear resolution with Selection function for Definite clauses

■ Description

Program (a set of *definite clauses*: rules + facts):

Rule: $\beta \vee \neg\gamma_1 \vee \neg\gamma_2 \vee \dots \vee \neg\gamma_n$

Fact: δ

Goal (a conjunction of facts in negated form:

Goal: $\neg\alpha_1 \vee \neg\alpha_2 \vee \dots \vee \neg\alpha_k$

Procedure:

- Starting point: a program Π and a goal ϕ
- The subgoals are considered according to the *selection function* of choice
- For each subgoal $\neg\alpha_i$ the resolution (with unification) is attempted with all rules and facts in Π whose positive literal is compatible

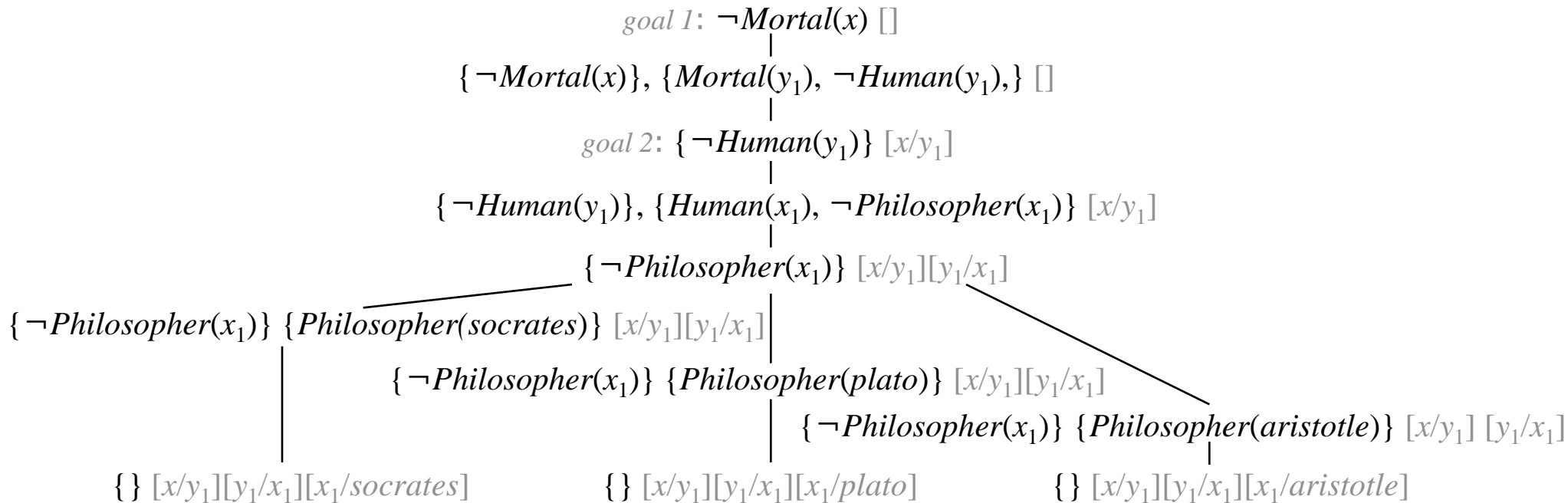
SLD Trees

■ Example:

$\Pi \equiv \{ \{Human(x), \neg Philosopher(x)\}, \{Mortal(y), \neg Human(y)\},$
 $\{Philosopher(socrates)\}, \{Philosopher(plato)\}, \{Philosopher(aristotle)\} \}$

$goal \equiv \{ \neg Mortal(x), \neg Human(x) \}$

“Is there anyone who is both human and mortal?”



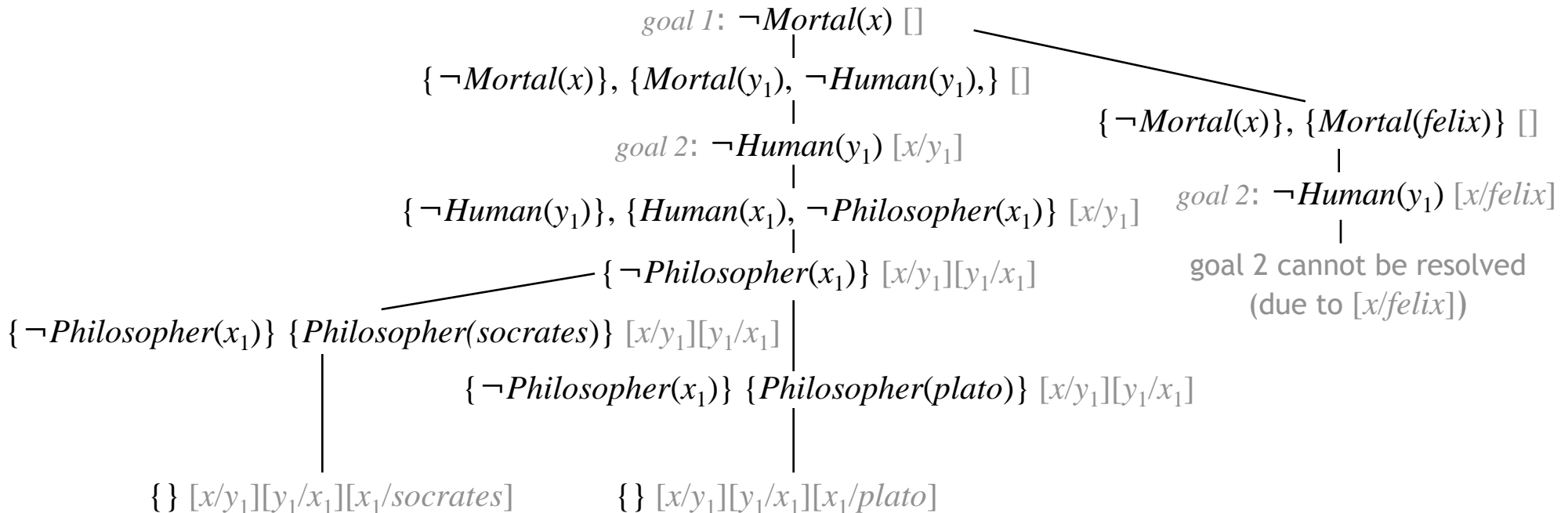
SLD Trees

■ Another example

$\Pi \equiv \{ \{Human(x), \neg Philosopher(x)\}, \{Mortal(y), \neg Human(y)\},$
 $\{Philosopher(socrates)\}, \{Philosopher(plato)\}, \{Mortal(felix)\} \}$

$goal \equiv \{ \neg Mortal(x), \neg Human(x) \}$

“Is there anyone who is both human and mortal?”

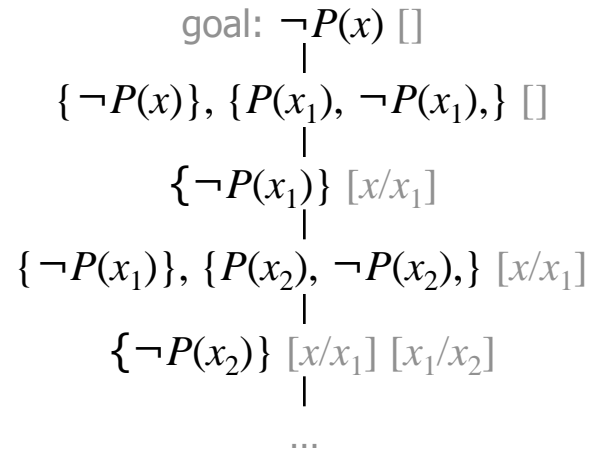


Infinite SLD Trees

- A first example:

$$\Pi \equiv \{\{P(x), \neg P(x)\}\}$$

$$\neg\phi \equiv \{\neg P(x)\}$$



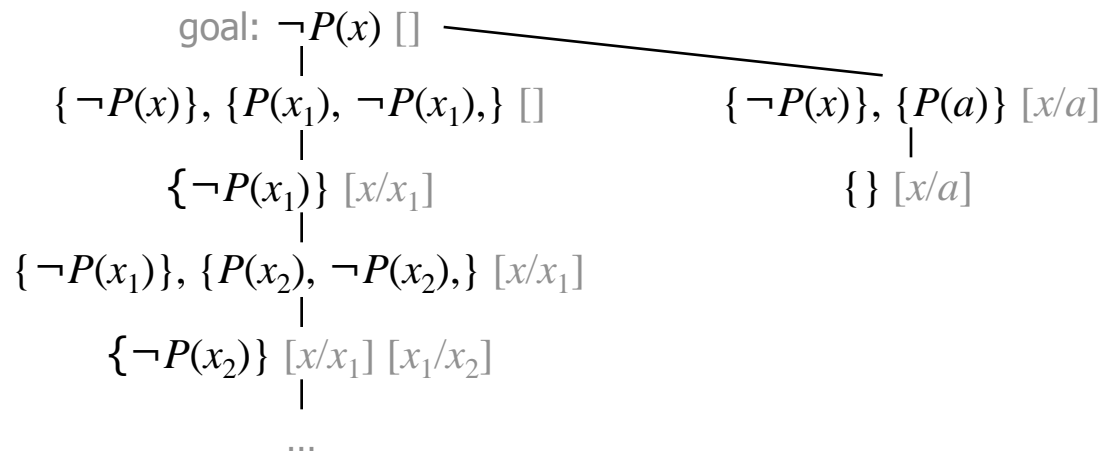
Since $\Pi \not\models \phi$, the method can *diverge* (and it does...)

Infinite SLD Trees

- A second example:

$$\Pi \equiv \{\{P(x), \neg P(x)\}, \{P(a)\}\}$$

$$\neg\phi \equiv \{\neg P(x)\}$$



In this case $\Pi \models \phi$, so the method should *not* diverge.

However, when a *depth-first* selection function is used, the infinite branch in the SLD-tree makes the method diverge anyway.

A ***fair*** selection function is such that no possible resolution will be postponed indefinitely: that is, any possible resolution will be performed, eventually.